

PATENT
99CR074/KE

U.S. PATENT APPLICATION
FOR
SYNCHRONIZATION TECHNIQUE FOR SPREAD
SPECTRUM FREQUENCY HOPPED DATA LINKS AND
RADIOS USING THE SAME
BY
STEPHEN M. CLARK,
TERRY J. GOLUBIEWSKI,
AND
SCOTT J.F. ZOGG

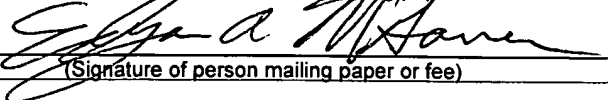
Express Mail Mailing Label EL399823581US

Date of Deposit April 28, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents, Box Patent Application, Washington, D.C. 20231.

Edgar A. McGovern

Typed or printed name of person mailing paper or fee


(Signature of person mailing paper or fee)

095601.04800
DOCSID: 34260560

FIELD OF THE INVENTION

The present invention relates to frequency hopped (FH)\direct sequence spread spectrum (DSS) time division multiple access (TDMA) data links. More particularly, the present invention relates to a method of performing coarse synchronization in a FH/DSS TDMA data link.

Is a 1

008240.180956
09560381.042800

Another available method of obtaining coarse synchronization requires the transmitter to go into a

transmit mode in which a trivial signal is transmitted for the purpose of gaining coarse synchronization. This method has the inherent vulnerability of being susceptible to jamming and spoofing, and requires cooperation by the transmitter. Thus, in data link systems operating in certain environments, this can be a highly undesirable solution.

Solutions for synchronization to a FH/DSS data link generally fall into two models, serial-search acquisition and matched-filter acquisition. See for example PRINCIPLES OF SECURE COMMUNICATION SYSTEMS, pp. 148-173 (Artech House 1992) by Don J. Torrieri; and SPREAD SPECTRUM COMMUNICATIONS HANDBOOK, Ch. 3 pp. 956-991 (McGraw-Hill 1994) by Simon et al. which are herein incorporated by reference in their entirety. Serial-search acquisition requires the received signal to be mixed against a local version of the frequency hopping pattern. The down converted signal is then low-pass filtered and integrated for a period. If the received energy exceeds a threshold, synchronization is declared. If not, the local frequency hopping pattern is shifted in time and the process starts over. For modern data links, serial-search acquisition is often too slow. Likewise, matched-filter acquisition as described in the Torrieri reference requires longer than the initial time uncertainty as discussed above.

Consequently, a faster method of performing coarse synchronization in a FH/DSS data link when a user has poor knowledge of time (minutes) is needed.

A method of obtaining coarse synchronization in a frequency hopped/direct sequence spread spectrum (FH/DSSS) time division multiple access (TDMA) data link network includes tuning the receiver to a first frequency used in the data link network. Then, signal strength of signals received on the first frequency during a sample time period is observed to obtain a sample energy pattern. An expected energy pattern during a time uncertainty window is determined based upon a known hopping pattern. Then, the sample energy pattern is compared to a first portion of the expected energy pattern, with the first portion of the expected energy pattern corresponding to a first time period within the time uncertainty window. A determination is then made as to whether the first time period is a coarse synchronization candidate based upon the comparison. If it is determined that the time period is a coarse synchronization candidate, one or more additional comparisons on different frequencies can be used to verify the hypothesis.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a diagrammatic illustration of a FH/DSS data link radio network having radios which obtain coarse synchronization using methods of the present invention.

FIG. 2 is a block diagram illustrating a radio which is programmable to obtain coarse synchronization in accordance with the methods of the invention.

FIGS. 3A and 3B are diagrammatic illustrations of a general format in which TDMA time slots can be organized, both in the prior art and in the present invention.

FIGS. 4A-4C are plots illustrating steps used to obtain a sample pattern on a frequency in the FH/DSS data link radio network.

FIG. 5 is a plot illustrating an expected pattern corresponding to a time uncertainty window which is determined based upon a known hopping pattern.

FIGS. 6A-6C are plots illustrating comparisons, of the sample pattern against portions of the expected pattern, which can be used to identify coarse synchronization time candidates.

DETAILED DESCRIPTION OF AN ILLUSTRATIVE EMBODIMENT

FIG. 1 is a diagrammatic illustration of a FH/DSS data link or radio network 100. Network 100 includes a multiplicity of radios 105, which are adapted to communicate using FH/DSS TDMA techniques of the type known in the art. The FH/DSS data link radios 105 (radios 105A-105F are shown but more or less can be included) can communicate, using known techniques, with selected ones of the other radios in network 100, or with all of the other radios in network 100. For example, as illustrated in FIG. 1, radio 105A can be set up to transmit messages to and receive messages from radio 105D. As shown, radio 105C is set up to transmit messages to and receive messages from both of radios 105B and 105F. Also, as illustrated, radio 105B can be used in a broadcast mode in which it transmits messages simultaneously to each of radios 105A, 105C, 105D, 105E and 105F. The illustrated configuration of network 100 is provided as an example of an operating environment, and is not intended to limit the invention.

Each of radios 105 in network 100 communicates in a coordinated manner during TDMA time slots over a multiplicity of different frequencies according to a frequency hopping pattern. The frequency hopping pattern used in the system is a pseudo-noise (PN) based sequence, where the PN is dependent upon the system time. In accordance with the present invention, radios 105 are adapted to obtain coarse synchronization by observing a portion of the frequency hopping pattern, and subsequently searching all known hop patterns within a time window to find the one that best correlates. This and other features of the present invention are discussed below.

FIG. 2 is a block diagram illustrating a typical configuration for each of radios 105 in network 100. The invention is not limited to the particular implementation of radio 105 shown in FIG. 2. In the exemplary embodiment shown in FIG. 2, each radio 105 includes an antenna 205, receive/transmit circuitry 210, signal strength determining circuitry 215, digital baseband processing circuitry 220 and a user input/output (I/O) 225. Antenna 205 and receive/transmit circuitry 210 are used to transmit digital data to other radios within network 100 during TDMA time slots over the multiplicity of frequencies according to a hopping pattern. Digital baseband processing circuitry 220, which is for example a suitably programmed microprocessor or digital signal processor, controls receive/transmit circuitry 210 in order to transmit digital information over the network according to the hopping pattern. Digital base band processing circuitry 220 also processes digital data received by antenna 205 and circuitry 210 from other radios within network 100.

Signal strength determining circuitry 215 can be implemented at least partially within a microprocessor such as the same microprocessor used to implement digital baseband processing circuitry 220. Signal strength determining circuitry 215 is used in accordance with the invention to determine the signal strength of signals received by antenna 205 and circuitry 210. In this manner, radio 105 can observe a portion of the frequency hopping pattern used by the other radios, and then can search all known hop patterns within a time window to find the one that best correlates, thereby providing a mechanism for performing coarse synchronization.

FIGS. 3A and 3B diagrammatically illustrate the general format in which TDMA time slots are organized, both in the prior art and in the present invention. As illustrated in FIG. 3A, in a TDMA frequency hopping communication network, the radios transmit and receive during segmented time periods sometimes referred to as "slots". A multiplicity of slots 310 (N slots are illustrated in FIG. 3A) together form a period of time commonly referred to as a "frame" 305. As shown in FIG. 3B, a multiplicity of frames 305 (M frames are illustrated in FIG. 3B) together form a larger time period known as an "epoch" 320. The present invention includes a method of performing coarse synchronization to a particular slot 310 in a manner which is orders of magnitude faster than conventional methods.

As discussed above, if the frequency hopping pattern used in the network is a PN based sequence, where the PN is dependent on the system time and therefore on the particular time slots, synchronization can be obtained by observing a portion of a frequency hopping pattern, and then searching all known hop patterns within a time window to find the one that best correlates. The time window in which all known hopping patterns are searched includes multiple time slots, and for example, could be on the order of 0.25 seconds in length. With the methods of the present invention which implement the search process faster, the result is a coarse synchronization with the transmitter after only a very brief search. Typically, the search requires a time which is much less than the duration of the time uncertainty window. The time uncertainty window represents a time quantity that the radio clock is estimated to be off by as compared to the

Aspects of the coarse synchronization technique of the present invention can be described as follows. Ideally, the method of the present invention operates under the assumption that the frequency-hopping pattern is a PN sequence, where each frequency is picked with an approximately equal probability of occurrence. The first step in the process of obtaining coarse synchronization is to tune the receiver (e.g., antenna 205 and circuitry 210) of a radio 105 to a fixed frequency and to observe the received signal strength (energy) versus time over some period. Thus, signal strength determination circuitry 215 is utilized at this point of the process. During this time, samples of the power received on the tuned frequency are collected. FIG. 4A illustrates a sample 405 of the collected power received on the tuned frequency over a sample period of time T_{SAMPLE} .

After applying threshold 410 to the energy received on the tuned frequency during the sample period T_{SAMPLE} to

obtain energy sample 415, the processor element tries to correlate the received energy versus time with known hopping patterns for each slot in the uncertainty window until a correlation is found. An exemplary expected energy pattern 505, for a known hopping pattern corresponding to the time uncertainty window, is illustrated in FIG. 5. The pattern shown in FIG. 5 is for illustrative purposes only, and is not necessarily indicative of an expected energy pattern during an uncertainty window using actual hopping patterns. Likewise, FIGS. 4A-4C and 6A-6C are illustrative, but not intended to limit the invention.

Correlation of the received energy versus time with the known hopping patterns for each slot in the uncertainty window is done by first assuming a start time and computing the hop pattern for that time. For example, in FIG. 6A hop pattern 605 for an initial start time is shown between dashed lines 606 and 607. At all the positions in the computed hop pattern 605 where the received frequency was tuned, the received energy in sample 415 is compared to the expected hop pattern 605.

If the energy in the received pattern 415 is higher than threshold 410 (FIG. 4B) at each expected time in hop pattern 605 (i.e., if sample pattern 415 matches expected hop pattern 605), the corresponding initial time assumption is noted as a possibility for the coarse synchronization time. If the energy level in received pattern 415 does not exceed threshold 410 at each of the expected times (i.e., if pattern 415 does not match expected pattern 605), then the hopping pattern is shifted ahead by one sample time, and is again compared to check for a correlation. This is shown for example in FIG. 6B

Expected energy hopping pattern 610 is shown between dashed lines 607 and 611. Received energy pattern 415 is compared to expected hopping pattern 610 to identify a possible energy correlation. This correlation process is repeated over the entire window of time uncertainty until a match or high correlation is found. For example, FIG. 6C illustrates expected energy hopping pattern 615, between dashed lines 611 and 616, for a third period of time. As can be seen in FIG. 6C, received energy pattern 415 matches expected energy hopping pattern 615, indicating that this third time period is a good candidate for coarse synchronization. If no correlation is found, the procedure is repeated on another frequency.

This coarse synchronization process may be further refined by first examining all channels (frequencies), and only using those channels whose background energy is lowest. This helps the desired transmit pulses to appear above the noise in a partial band jammed environment.

An illustration of the decreased coarse synchronization time which can be achieved using the invention is provided as follows. To estimate the capabilities of current technologies for performing the computations described above, an assumption can be made that there is a single transmitter in the network transmitting in sixteen known slots per second. Each transmission can be assumed to be on 258 different frequencies, randomly chosen from the available frequencies for the network. Tuning to a particular frequency for a one second interval, that frequency can be expected to be visited on average about 81 times. If the time uncertainty window were for example 60 seconds long, and if the samples had a length of about 13 microseconds, there would be 4,615,384 possible alignments of the received one second period with respect to the 60 second uncertainly window. Using a 200 MHz TMS320C6201 DSP, the received data can be compared for correlation against each of the possible alignments in less than one second. If the threshold is set so that 80% of the samples pass the threshold, the probability of a noise source causing a correlation is less than one in sixteen for the entire uncertainty window. This is a low enough probability to allow investigation of every correlation that is found using the method described above. Through this process, synchronization can be gained in less than two seconds, whereas using conventional synchronization techniques the assumed sixty second uncertainty window would have required on the order of sixty seconds to achieve coarse synchronization. For larger time uncertainties, the synchronization time reduction is an even greater multiple.

Subs
A3

In another embodiment, each of multiple receivers 105 is tuned to a different frequency, and is used to obtain a different sample pattern during the sample time period. The process described above is then used to compare each of the sample energy patterns from the different operational frequencies to the expected energy patterns on those frequencies (as determined using the hopping pattern). This provides additional information, and among other benefits, may eliminate the need for further verification of a synchronization candidate.

Although the present invention has been described with reference to illustrative embodiments, workers skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention.